

**VIRGINIA COMMONWEALTH UNIVERSITY
BOARD OF VISITORS
AUDIT, INTEGRITY, AND COMPLIANCE COMMITTEE AGENDA
7:45 a.m. – 9:15 a.m. – James Branch Cabell Library, 3rd Floor, Room 311**

September 15, 2016

OPEN SESSION			
CONSENT - FOR ACTION			
1 min.	Mr. Worley	1. Minutes of the Meeting held on May 13, 2016	pg. 2
PRIORITY – FOR ACTION			
4 min.	Bill Cole	2. Audit and Compliance Services Charter – Annual Update	pg. 6
PRIORITY – FOR INFORMATION			
3 min.	Bill Cole	3. Committee Goals FY17	pg. 11
5 min.	Bill Cole	4. Committee Dashboard Measures	pg. 13
4 min.	Bill Cole	5. Audit and Compliance Services – Staff Credentials and Department Budget	pg. 17
4 min.	Bill Cole	6. Audit and Compliance Services – Goals, Objectives, and Accomplishments	pg. 21
CLOSED SESSION ON AUTHORIZED LIMITED MATTERS			
10 min.	Tom Briggs	7. ERM – Safety Risk Update	pg. 28
15 min.	Jacqueline Kniska	8. Integrity and Compliance Annual Report FY16	pg. 30
10 min.	Madelyn Wessel	9. University Counsel Update	n/a
		10. Audit Updates	
		A. Audit Reports for Discussion	
5 min.	David Litton	<ul style="list-style-type: none"> • Physical Plant and Selected Facilities Management Finance and IT Operations 	pg. 40
2 min.	David Litton	<ul style="list-style-type: none"> • School of Business 	pg. 50
2 min.	David Litton	<ul style="list-style-type: none"> • Selected Centers and Institutes IT General Controls 	pg. 56
1 min.	Bill Cole	B. Audit Reports for Information	pg. 62
		<ul style="list-style-type: none"> • School of Medicine – Department of Surgery 	
4 min.	Bill Cole	C. Annual Review of Audit Recommendations Outstanding	pg. 67
2 min.	Bill Cole	D. Audit Survey Results – Annual Summary	pg. 73
2 min.	Bill Cole	E. Audit Work Plan Status Report	pg. 76
15 min.	Bill Cole	Executive Session	
OPEN SESSION			
FOR ACTION			
1 min.	Erin McClinton	11. Resolution of Certification	

Total: 90 min.